

Contents

About the Authors

Introduction

Acronyms

Part I Copyrights

I 1 Foundations of Copyright Law

- A. The Foundations of Copyright Policy in the United StatesI 1-1
- B. The First Amendment and the Copyright ClauseI 1-2
- C. Overview of the Copyright ActI 1-7

I 2 What Is a Copyright?

- A. Introduction.....I 2-1
- B. Requirements for Copyright Protection.....I 2-1
- C. Categories Of Enumerated Copyrightable MaterialsI 2-9
- D. Compilations and Derivative WorksI 2-18
- E. Material That Does Not Qualify for Copyright ProtectionI 2-22

I 3 Determining Authorship and Ownership

- A. Introduction.....I 3-1
- B. Types of Ownership and Authorship.....I 3-3
- C. Legal Rights of Copyright Owners.....I 3-10
- D. The Visual Artists Rights Act of 1990I 3-21
- E. Term of CopyrightI 3-22

I 4 Formalities and Registration

- A. Introduction.....I 4-1
- B. Copyright NoticeI 4-3
- C. Registration of CopyrightI 4-6

D. Deposit RequirementI 4-6
E. The Copyright ApplicationI 4-8

I 5 Copyright Infringement

A. What is Infringement?I 5-1
B. Remedies for Copyright InfringementI 5-5
C. Remedies for InfringementI 5-8
D. Defenses to Infringement ClaimsI 5-15

I 6 Transferring Copyrights

A. Copyright Transfers in GeneralI 6-1
B. Methods of Copyright TransferI 6-3
C. Security Interests in CopyrightsI 6-4
D. Testamentary and Intestate TransfersI 6-5
E. Involuntary Transfers.....I 6-6
F. Selling Interests in CopyrightsI 6-7
G. Licensing Copyrights.....I 6-8
H. Formalities for Transfer.....I 6-9
I. Termination of TransfersI 6-12

Part II Trademarks

II 1 The Foundations of Trademark Law

A. What Is a Trademark?..... II 1-1
B. History of Trademark Laws in the United States II 1-3
C. The Modern Law of Trademarks II 1-6

II 2 What Is a Trademark?

A. Introduction..... II 2-1
B. Types of Marks II 2-4
C. Business Names II 2-7

D. Using Trademark Symbols	II 2-8
E. Terminology of Trademark Law	II 2-10
F. Selecting, Searching, and Adopting Trademarks	II 2-13
G. Searching a Trademark	II 2-17
H. Common Law Use	II 2-17
I. Trademark Registration	II 2-18
J. Infringement	II 2-19
K. Trademark Transfers.....	II 2-20

II 3 Selecting, Searching, and Adopting a Trademark

A. Selecting an Appropriate Name.....	II 3-1
B. Secondary Meaning	II 3-9
C. Generic Terms	II 3-11
D. Trade Names.....	II 3-12
E. Personal Names as Marks.....	II 3-14
F. Geographic Names as Marks	II 3-16
G. Trade Dress as Marks	II 3-17
H. Fragrances, Colors, and Sounds as Marks.....	II 3-20
I. Other Types of Marks.....	II 3-23
J. Performing a Trademark Search.....	II 3-26
K. Interpreting a Trademark Search	II 3-28
L. Common Law Adoption of a Mark	II 3-31
M. Geographic Scope of Common Law Adoption	II 3-37

II 4 Trademark Registration

A. Overview.....	II 4-1
B. Filing an Application for Registration.....	II 4-4
C. Applications Based on Use.....	II 4-6
D. Applications Based on Intent-to-Use.....	II 4-8

E. After the Application Is Filed	II 4-9
F. After the Application for Registration has Been Allowed	II 4-12
G. The Effect of Registration on Use	II 4-13
H. Duration of Registration and Renewal Procedures.....	II 4-17
I. Losing a Federal Trademark Registration	II 4-18
J. Genericism and Misuse.....	II 4-20
K. Abandonment.....	II 4-21
L. Losing Trademark Rights	II 4-25
M. State Registration of Trademarks	II 4-28

II 5 Trademark Infringement

A. Infringement—In General	II 5-1
B. Protection Against Infringement When the Mark Is Not Registered	II 5-2
C. Protection Against Infringement When the Mark Is Registered	II 5-4
D. Elements of Infringement	II 5-4
E. Avoiding Infringement Claims	II 5-8
F. Using Disclaimers.....	II 5-9
G. Non-Infringing Concurrent Use by Competitors.....	II 5-10
H. Types of Infringement	II 5-10
I. Remedies for Infringement.....	II 5-13
J. Legal Defenses.....	II 5-19
K. Settling Disputes	II 5-23

II 6 Transferring Trademarks

A. Types of Transfers	II 6-1
B. Transfer by Assignment.....	II 6-1
C. Security Interests in Marks	II 6-7
D. Licensing of Marks	II 6-8
E. Franchising	II 6-12

Part III Trade Secrets

III 1 What is a Trade Secret?

A. Trade Secret Law	III 1-1
B. Definition of a Trade Secret	III 1-2
C. Importance of Secrecy in Trade Secret Law	III 1-5
D. Trade Secret Law and Other Intellectual Property Laws	III 1-8
E. Types of Information and Trade Secret Law	III 1-11

III 2 What Qualifies as a Trade Secret?

A. Customer Lists and Marketing Information	III 2-1
B. Supplier Lists and Ingredient Specifications	III 2-6
C. Recipes, Formulas and Product Specifications.....	III 2-7
D. Technical Know-How.....	III 2-9
E. Computer Technology	III 2-10
F. Technical and Non-Technical Information.....	III 2-12

III 3 Creating and Protecting Trade Secrets

A. Employment and Agency Relationships.....	III 3-1
B. Non-Disclosure Agreements.....	III 3-4
C. Non-Competition Agreements.....	III 3-8

III 4 Misappropriation of Trade Secrets

A. What Constitutes Trade Secret Misappropriation?.....	III 4-1
B. Injunctions	III 4-4
C. Tort Remedies.....	III 4-7
D. Criminal Prosecution for Trade Secret Misappropriation	III 4-10
E. Monetary Damages in Trade Secret Cases	III 4-14

III 5 Losing Trade Secrets

A. How Long Do Trade Secret Rights Last?.....	III 5-1
B. Losing Trade Secrets Through Disclosure	III 5-5
C. Reverse Engineering	III 5-6
D. Employment Relationships	III 5-8

III 6 Transferring Rights to Trade Secrets

A. Transferability of Trade Secrets	III 6-1
B. Patent Licenses Versus Trade Secret Licenses.....	III 6-3
C. Interpreting and Enforcing Trade Secret Licenses	III 6-5

Part IV Patents

IV 1 Defining Patents and Patent Rights

A. Patents Defined.....	IV 1-1
B. Patent Grant	IV 1-2
C. Patent Rights.....	IV 1-2
D. Copyright, Trademark, and Trade Secret Comparison.....	IV 1-4
E. Subject Matter: Utility, Design, and Plant Coverage	IV 1-7
F. Patent Public Policy Rationale	IV 1-9
G. The Court of Appeals for the Federal Circuit.....	IV 1-11
H. Statutes, Case Law, Regulations	IV 1-13
I. Registration to Practice Before the PTO: Rules, Responsibilities, and Ethics	IV 1-14

IV 2 Legal Contexts in which Patent Concerns Arise

A. Application Preparation and Prosecution	IV 2-1
B. The Food and Drug Administration.....	IV 2-4
C. Patent Misuse.....	IV 2-5

IV 3 Applying for a Patent

A. The Application ProcessIV 3-1
 B. Communicating with the Patent and Trademark Office.....IV 3-13
 C. InventorshipIV 3-14
 D. Continuation Practice.....IV 3-17
 E. Foreign FilingIV 3-21
 F. Correcting Patents.....IV 3-25
 G. 18-Month PublicationIV 3-31
 H. FeesIV 3-32

IV 4 Examination and Grant

A. Application Prosecution.....IV 4-1
 B. Section 102IV 4-5
 C. Appeals Within the PTO and to CourtsIV 4-23
 D. InterferenceIV 4-26

IV 5 Patent Infringement and Litigation

A. Subject Matter Jurisdiction, Personal Jurisdiction, and VenueIV 5-1
 B. Suits Against States or State Entities.....IV 5-15
 C. Infringing Conduct: Direct, Contributory, Inducing, and ANDAIV 5-16
 D. Infringement Defined: Literal and Doctrine of Equivalents.....IV 5-24
 E. Parties’ Burdens of Proof.....IV 5-33
 F. Claim Interpretation.....IV 5-35
 G. Remedies for Patent InfringementIV 5-46
 H. Defenses: Invalidity and UnenforceabilityIV 5-62
 I. Patent Marking and Actual NoticeIV 5-72
 J. Attorney Fees.....IV 5-75
 K. State Law Torts Relating to PatentsIV 5-79

IV 6 Transactions: Licensing and Technology Transfer

A. Patent Transfers in General	IV 6-1
B. Information to Help the Parties to License Negotiations	IV 6-4
C. Assignments.....	IV 6-6
D. Rights to Inventions in the Employer/Employee Context.....	IV 6-8
E. Joint Ventures	IV 6-11
F. Rights and Duties of the Licensor and Licensee	IV 6-12
G. Recording Patent Transfers and Security Interests, Bankruptcy	IV 6-13
H. Rights of Co-inventors.....	IV 6-15
I. Contracts Related to Government-Funded Research	IV 6-16
J. Licensing Pitfalls	IV 6-18

Resources

Index of Cases

Appendix: States in which UTSA Has Been Adopted